



#4 SP
2676
12-01-03

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

Application Number	09/818,715
Filing Date	MARCH 27, 2001
First Named Inventor	KWOK PUN LEE
Group Art Unit	2176
Examiner Name	
Attorney Docket Number	US010071

☒ Please charge any required fee under §1.17(i) or §1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

i. ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.

2. ☐ I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago.

☐ Applicant hereby petitions under §1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under §1.17(p) as indicated below, and I certify 1. or 2. as indicated above.

☐ A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than 3 months after the date of application or RCE, because I certify 1. or 2. as indicated above.

☐ A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No. _____ Filing Date _____ relied on for an earlier effective filing date under 35 U.S.C. 120).

☐ A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).

The concise explanation of the relevance of any non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is that the document is/was (check one):

☐ cited in the specification or considered in drafting the specification of this application;

☐ previously submitted or cited in the parent application (or in a related patent application Ser. No. _____ Filing Date: _____);

☒ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	GREGORY L. THORNE	Registration No. (Attorney/Agent)	383398
Signature		Date	11/20/03

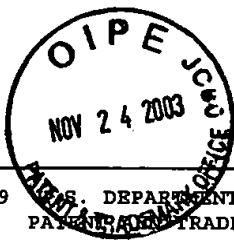
CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. BOX 1450 Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office tel. # _____ on the date below:

Name (Print Type)	NOEMI CHAPA	Date	11/20/03
Signature			

NOV 26 2003

Technology Center 2100

Form PTO-1449
(REV. 7-80) U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No.

US010071

Serial No.

09/818,715

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

KWOK PUN LEE ET AL

Filing Date

MARCH 27, 2001

Group

2176

U.S. PATENT DOCUMENTS

Ex. Int		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA	6 1 5 1 6 0 8	11/2000	ABRAMS	707	204	
	AB	5 7 0 8 8 2 8	1/1998	COLEMAN	395	785	
	AC						
	AD						
	AE						
	AF						

RECEIVED
NOV 26 2003
Technology Center 2100

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- class	Trans.
							Yes No
	AG						
	AH						
	AI						
	AJ						
	AK						

OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	
	AM	
	AN	

Examiner

Date Considered

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered.
Include a copy of this form with next communication to applicant.